

Association of the Asporin D14 Allele with Lumbar-Disc Degeneration in Asians

You-Qiang Song,^{1,3,11} Kenneth M.C. Cheung,^{2,11} Daniel W.H. Ho,¹ Sandy C.S. Poon,¹ Kazuhiro Chiba,⁴ Yoshiharu Kawaguchi,^{4,5} Yuichiro Hirose,¹⁰ Mauro Alini,⁶ Sibylle Grad,⁶ Anita F.Y. Yee,² John C.Y. Leong,⁷ Keith D.K. Luk,² Shea-Ping Yip,⁸ Jaro Karppinen,⁹ Kathryn S.E. Cheah,¹ Pak Sham,³ Shiro Ikegawa,¹⁰ and Danny Chan^{1,*}

Lumbar-disc degeneration (LDD) is a polygenic disease. Susceptibility genes reported so far are mainly extracellular matrix proteins. D14 allele of asporin (*ASPN*) is associated with osteoarthritis (OA). Candidate-gene association studies showed that the D14 allele is also significantly associated with LDD in Chinese and Japanese individuals. Meta-analysis showed that individuals harboring a D14 allele had higher risk with a summary odds ratio of 1.70 ($p = 0.000013$). *ASPN* expression in vertebral discs increased with age and degeneration. Our results indicate *ASPN* is a LDD gene in Asians, and common risk factors may be considered for OA and LDD.

Lumbar-disc degeneration (LDD) is a major cause of low back pain. Approximately 80% of the global population experience low back pain in their life time, presenting a huge medical and economical burden to society.¹ There is a significant genetic contribution in LDD. Twin studies demonstrated 74% heritability on the basis of magnetic resonance imaging (MRI) of the spine.^{2–4} Also, genetic association studies have identified a number of risk factors (see recent review).⁵ The majority of these risk factors for LDD result from changes in sequences of genes encoding extracellular matrix (ECM) proteins expressed in the nucleus pulposus (inner structure) and annulus fibrosus (outer layer) of the disc, such as type IX collagen (*COL9A2* and *COL9A3*),^{6–8} aggrecan (*AGC1*),⁹ and cartilage intermediate layer protein (*CILP*).¹⁰ These findings lead us to the hypothesis that LDD is caused by changes in the structural integrity of the intervertebral disc.

Recently, an extracellular matrix protein, asporin (*ASPN*), was shown to be associated with osteoarthritis (OA) of the knee.^{11–13} *ASPN* belongs to the small leucine-rich proteoglycan (SLRP) family. It contains a unique aspartate (D) residues repeat in the N terminus, which is a polymorphic region in the gene with alleles that contains D repeats ranging from 9–20 residues.¹⁴ The D14 polymorphism with 14 D residues is the risk allele identified for OA.¹¹ Functional studies demonstrated that *ASPN* inhibited in vitro chondrogenesis and expression of *Col2a1* and *Agc1* through inhibition of TGF- β signaling, with a stronger inhibitory effect for asporin D14 over others.¹¹ Given that OA and LDD are both degenerative diseases of skeletal joint regions, and that many of the genes expressed in cartilage are also expressed in the intervertebral disc, we hypothesize that *ASPN* is an excellent candidate as a susceptibility gene to LDD.

We tested for association of *ASPN* with LDD in two large Asian cohorts of Chinese and Japanese ethnicities. The Chinese cohort consisted of 1055 individuals recruited from the general population. LDD status was assessed by MRI, with severity of LDD graded with the Schneiderman's classification.¹⁵ Because age is a factor in LDD, we used a sliding-window method for an adjustment.¹⁶ In brief, the method normalizes the LDD score by (1) logarithmic transformation of the quantitative variable to reduce skewness, (2) definition of an age band for each individual as the age of the individual plus or minus 5 years, and (3) standardization of each individual's quantitative variable value by first subtracting the mean trait value and then dividing by the standard deviation of the trait, in the individual's age band. This normalization of LDD scores allows us to divide the cohort into two groups; individuals with normalized scores above and below the median were classified as cases (527) and controls (528), respectively. Differences in allele or genotype frequencies between groups were assessed by odds ratios and chi-square tests. The Japanese cohort is an extension of one previously published for an association with *CILP*.¹⁰ The cohort consisted of 608 controls and 745 cases. All LDD cases had a history of sciatica for longer than 3 months, and LDD confirmed with MRI graded with the Schneiderman's classification.¹⁵ Disc herniation was present in all cases.

We analyzed the *ASPN* D-repeat polymorphisms. Similar to previous findings for the association study in OA,¹¹ the most common allele is D13, and there were no significant differences between the case (LDD) and control groups in both cohorts (Table 1). However, the D14 allele was over-represented in the case groups (Table 1). The D14 allele is a risk allele with an odds ratio of 1.49 and 1.69 for the

¹Department of Biochemistry, ²Department of Orthopaedics and Traumatology, ³The Genome Research Centre, The University of Hong Kong, Pokfulam, Hong Kong, China; ⁴Department of Orthopaedic Surgery, Keio University, Tokyo 160-8582, Japan; ⁵Department of Orthopaedic Surgery, University of Toyama, Toyama 930-0194, Japan; ⁶AO Foundation, Davos, Switzerland; ⁷The Open University of Hong Kong, Hong Kong, China; ⁸Department of Health Technology and Informatics, The Hong Kong Polytechnic University, Hong Kong, China; ⁹Finnish Institute of Occupational Health, 90220 Oulu, Finland; ¹⁰Laboratory for Bone and Joint Diseases, SNP Research Center, RIKEN, Tokyo 108-8639, Japan

¹¹These authors contributed equally this work.

*Correspondence: chand@hkusua.hku.hk

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Table 1. Distribution of the D Repeat Alleles of *ASPN* in Chinese and Japanese Cohorts

Cohort		Allele												Total
		D9	D10	D11	D12	D13	D14	D15	D16	D17	D18	D19	D20	
Chinese														
Case	Count	1	0	4	213	652	98	36	38	9	3	0	0	1054
Case	%	0.1	0	0.4	20.2	61.9	9.3	3.4	3.6	0.9	0.3	0	0	100
Control	Count	0	1	4	236	666	68	30	37	10	3	0	1	1056
Control	%	0	0.1	0.4	22.3	63.1	6.4	2.8	3.5	0.9	0.3	0	0.1	100
Japanese														
Case	Count	0	0	1	230	888	116	50	137	64	2	2	0	1490
Case	%	0	0	0.1	15.4	59.6	7.8	3.4	9.2	4.3	0.1	0.1	0	100
Control	Count	0	0	1	167	793	58	56	88	51	1	1	0	1216
Control	%	0	0	0.1	13.7	65.2	4.8	4.6	7.2	4.2	0.1	0.1	0	100

Statistical analyses for the D14 allele: Chinese cohort (OR = 1.49, 95%CI = 1.08-2.06, $p = 0.015$), Japanese cohort (OR = 1.69, 95% CI = 1.22-2.33, $p = 0.0015$), and meta-analysis (OR = 1.58, 95% CI = 1.26-1.99, $p = 0.000081$).

Chinese and Japanese cohorts, respectively (Table 1). With the D14 genotype in a dominant model, individuals carrying at least one D14 allele are at risk with an odds ratio of 1.66 and 1.79 for the Chinese and Japanese cohorts, respectively (Table 2).

To integrate the results of the two populations, we performed a meta-analysis (random-effect model) by using the software "MIX."¹⁷ This program uses Excel as a calculation and programming platform and has been validated against two major software packages, STATA and Comprehensive Meta-Analysis Version 2. This meta-analysis showed a summary odds ratio of 1.58 (95% CI = 1.26–1.99, $p = 0.00081$) (Table 1) for the allele frequency, and an overall odds ratio of 1.70 (95% CI = 1.35–2.20, $p = 0.000013$) (Table 2) for the D14 genotype in a dominant model, strongly supporting the D14 allele of *ASPN* as a risk factor for LDD. In the Chinese cohort, we also found a correlation of the D14 allele with the number of degenerated discs in an individual (Table 3).

To assess *ASPN* in the pathogenesis of LDD, we investigated its expression in the intervertebral discs by using microarray analysis of genes expressed in cells isolated from the nucleus pulposus and annulus fibrosus, from "normal" individuals of various ages with no evidence of disc degeneration. The expression of *ASPN*, normalized to the average expression in 2- and 22-year-old samples, was similar and slightly above average. However, it was upregulated 3- to 5-fold in 46-year-old nucleus pulposus and annulus fibrosus cells, with a corresponding downregulation of *COL2A1* and *AGC1* expression with age (Figure 1A). Real-

time RT-PCR also showed an upregulated *ASPN* expression in degenerative discs (Figure 1B). Although the sample size is small to make definitive conclusions, there is a trend for a reduced expression of *COL2A1* and *AGC1* in degenerate samples, with decreased *COL2A1* expression in annulus fibrosus and decreased *AGC1* expression in nucleus pulposus and end-plate tissues (Figure 1B).

ASPN expression was further evaluated by in situ hybridization, comparing "normal" nondegenerative (16–35 years) and degenerative (20–49 years) discs obtained from surgery. *ASPN* mRNA was clearly detectable in cells from degenerative disc samples, but only traces appeared in normal samples (Figure 1C). Immunostaining with an antibody specific for *ASPN* also showed a stronger staining for all degenerative discs analyzed compared to "normal" nondegenerative tissues (Figure 1D). In "normal" nondegenerative intervertebral discs, TGF- β 1 can be detected in the cartilage end plate, nucleus pulposus, and annulus fibrosus (Figure 1E), in regions where *ASPN* is also localized. Interestingly, there is a trend for a lower staining intensity in LDD disc samples when compared with "normal" nondegenerative samples (Figure 1E).

Given that previous in vitro studies have demonstrated *ASPN* can exert a negative effect on TGF- β signaling through direct interaction,^{11,18} and that we have shown *ASPN* is upregulated with age and degeneration, it is reasonable to suggest that an inhibitory effect on cell function would occur if *ASPN* is overexpressed in intervertebral disc tissues, and individuals with the D14 allele could be compromised further and would become more

Table 2. Association between the Presence of the *ASPN* D14 Allele and LDD

Cohort	Case D14+/D14- (%D14+)	Control D14+/D14- (%D14+)	p Value	OR (95% CI)
Chinese	94/433 (17.8)	61/467 (11.6)	0.0039	1.66 (1.17-2.35)
Japanese	112/633 (15.0)	55/553 (9.0)	0.00087	1.78 (1.26-2.51)
Meta-Analysis			0.000013	1.70 (1.35-2.20)

"D14+" refers to subjects harboring one or two D14 alleles. "D14-" refers to subjects harboring no D14 alleles.

Table 3. Correlation of the Number of Degenerative Lumbar Discs and *ASP* N14 Allele in the Chinese Cohort

Number of Degenerated Lumbar Disc	Individuals (%)	
	D14+	D14-
0	21 (14)	205 (23)
1	45 (29)	278 (31)
2	43 (28)	230 (26)
3	26 (17)	113 (13)
4	11 (7)	54 (6)
5	9 (6)	20 (2)

"D14+" refers to subjects harboring one or two D14 alleles. "D14-" refers to subjects harboring no D14 alleles; $p = 0.0010$.

susceptible to LDD. The significance of *ASP* in the regulation of TGF- β signaling for intervertebral disc function is not clear, but our data together with previous finding for an association of *CILP*¹⁰ to LDD suggest that factors that alter TGF- β signaling may contribute to the pathogenesis of LDD.

Of particular relevance is the phenotype definition for LDD in the two cohorts, in which the definition in the Chinese cohort is independent of symptoms relating to pain, whereas the Japanese cohort is dependent on painful disc herniations. This suggests that the *ASP* association is relevant across a broader spectrum of the disease. In the Chinese cohort, there is a trend for an association between *ASP* and severity of LDD. A smaller p value for an association of the D14 allele in the Japanese cohort, in which the phenotype definition correlated with severe LDD, supported this observation. The significance of *ASP* in LDD in non-Asian populations awaits replication studies of additional large cohorts.

The finding that the same alleles predispose to cartilage degeneration in different cartilage types is of particular interest, suggesting the presence of common pathomechanisms for degenerative "cartilage diseases." Similar scenario may be applicable to other susceptibility genes of OA and LDD. Thus, systematic comparative association analyses of susceptibility genes for OA, LDD, and other degenerative

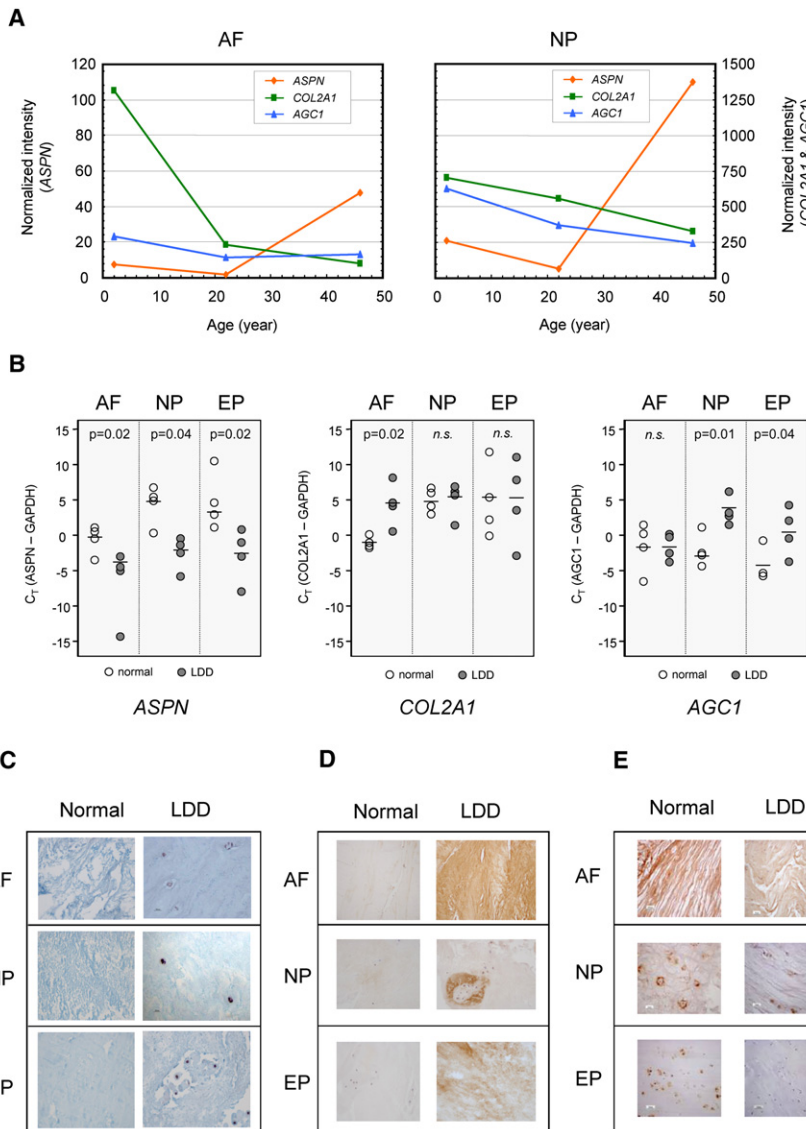


Figure 1. Expression of *ASPN*, *COL2A1*, *AGC1*, and TGF- β 1 in Normal and LDD Discs

(A) Relative expression levels of *ASPN*, *COL2A1*, and *AGC1* in disc cells from microarray analysis with the CODELINK platform.

(B) Dot plots of real-time RT-PCR analysis of *ASPN*, *COL2A1*, and *AGC1* expression in normal ($n = 4$, age 16–39) and LDD tissues ($n = 4$, age 21–49). The bar represents the average value in each group. A p value is given for a statistical difference between normal and LDD groups, and n.s. denotes nonsignificance.

(C) *ASPN* in situ hybridization.

(D and E) Immunostaining of (D) *ASPN* and (E) TGF- β 1, in "normal" nondegenerative (35-year-old) and LDD (40-year-old) disc tissues. The following abbreviations are used: AF, annulus fibrosus; NP, nucleus pulposus; and EP, end plate.

cartilage diseases will be informative, with the possibility of developing common therapeutic and preventative treatments.

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